

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

107019543

In re the Application of Satoru YOKOMIZO, et al.

Group Art Unit : Unassigned  
Application No. : (Natl. Phase of PCT/JP01/04158)  
Examiner : Unassigned  
Filed : 03 January 2002  
Docket No. : 12218/3  
For : TRANSFORMANT AND PROCESS FOR PRODUCING  
POLYESTER BY USING THE SAME

Information Disclosure Statement Under  
37 C.F.R. 1.97 (b)(3)

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

This information Disclosure Statement is filed pursuant to 37 CFR § 1.97 (b)(3), (*i.e.*, before the mailing of a First Office Action on the merits). The attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

It is believed that no fee is required. However, the Office is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 11-0600.

Respectfully submitted,

KENYON & KENYON

Date: March 26, 2002

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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Attorney Docket No. <b>12218/3</b>	Serial No. Natl. Phase of PCT/JP01/04158
	APPLICANT <b>Satoru YOKOMIZO, et al.</b>	
	Filing Date <b>03 January 2002</b>	Group Unassigned

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
		10-108682	4/28/1998	Japan			yes

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	Yves Poirier, et al., "Synthesis of Polyhydroxyalkanoate in the Peroxisome of <i>Saccharomyces cerevisiae</i> by Using Intermediates of Fatty Acid $\beta$ -Oxidation", <i>Applied and Environmental Microbiology</i> , Vol. 67, No. 11, pp. 5254-5260 (November 2001).
	Timothy A. Leaf, et al., " <i>Saccharomyces cerevisiae</i> expressing bacterial polyhydroxybutyrate synthase produces poly-3-hydroxybutyrate", <i>Microbiology</i> 142: pp. 1169-1180 (1996).
	Toshiaki Fukui, et al., "Co-expression of polyhydroxyalkanoate synthase and (R)-enoyl-CoA hydratase genes of <i>Aeromonas caviae</i> establishes copolyester biosynthesis pathway in <i>Escherichia coli</i> ", <i>FEMS Microbiology Letters</i> 170 pp. 69-75 (1999).

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.